

FORM PTO-1449	SERIAL NO. 09/826,671	CASE NO. 10745/10
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE April 5, 2001	GROUP ART UNIT
(use several sheets if necessary)	APPLICANT(S): Akira Shibutani	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1					
	A2					
	A3					
	A4					

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A5					
	A6					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)				
MM	A7	F. Adachi et al., "Wideband DS-CDMA for Next-Generation Mobile Communications Systems", IEEE Communication Magazine, vol. 36, pp. 56-69, September 1998			
MM	A8	3rd Generation Partnership Project 2, "cdma 2000 High Rate Packet Data Air Interface Specification", 3GPP2 C.S0024, September 12, 2000.			
MM	A9	A. Jalali et al., "Data Throughput of CDMA-HDR a High Efficiency-High Data Rate Personal Communication Wireless System", IEEE VTC2000-Spring, pp.1854-1858, Tokyo, May 2000			
MM	A10	T. Ue et al., "Symbol Rate and Modulation Level-Controlled Adaptive Modulation/TDMA/TDD System for Personal Communication Systems", IEEE Vehicular Technology Conference 0098-3551, vol. 45/V1, pp. 306-310, July 1995			
MM	A11	S. Sampei et al., "Adaptive Modulation/TDMA Scheme for Large Capacity Personal Multi-Media Communication Systems", IEICE Trans. Commun., vol. E77-B, No. 9, September 1994			
MM	A12	A. Yamaguchi et al., "Forwardlink Packet Scheduler for Packet Data System", IEICE General Conference, March 26-29, 2001			
MM	A13	A. Fujiwara et al., "Link Adaptation Method Using Fading Frequency for High-Speed Packet Transmission with Adaptive Modulation and Coding Scheme in DS-CDMA Mobile Radio", IEICE, The 2000 Communications Society Conference, B-5-79, p. 367, September 30 – October 3, 2000			
MM	A14	K. Higuchi et al., "Throughput Performance of High-Speed Packet Transmission Using Multi-Level Modulation in W-CDMA Forward Link", IEICE, The 2000 Communications Society Conference, SB-11-7, pp. 531-532, September 30 – October 3, 2000			
MM	A15	N. Kinoshita et al., "Field Experiments on 16QAM/TDMA and Trellis Coded 16QAM/TDMA Systems for Digital Land Mobile Radio Communications", IEICE TRANS. COMMUN., Vol. E77-B, No. 7, July 1994			

EXAMINER <i>Melvin Marcelo</i>	DATE CONSIDERED <i>09-30-2004</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. 09/826,671	CASE NO. 10745/10
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE April 5, 2001	GROUP ART UNIT 2681
APPLICANT(S): Akira SHIBUTANI		

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
B					
B					
B					
B					JAN 06 2004
B					
B					
B					Technology Center 2600

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
mm	B1 JP2003-508992 A	03/04/2003	Japan	—	Yes
mm	B2 JP2000-059400 A	02/25/2000	Japan	—	Yes
mm	B3 WO 99/09779 A1	02/25/1999	WIPO	—	
mm	B4 WO 01/17311 A1	03/08/2001	WIPO	—	
B					
B					
B					
B					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
B		
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EXAMINER Melvin Marcelo	DATE CONSIDERED 09-30-2004
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Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 2-3-2004	SERIAL NO. 09/826,671	CASE NO. 10745/10 Client Case No. PA-005
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE April 5, 2001	GROUP ART UNIT 2663
(use several sheets if necessary)	APPLICANT(S): Akira Shibutani	

**REFERENCE DESIGNATION                    U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
MM	C1	5,914,950	06/1999	Tiedemann, Jr. et al.	—	

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
MM	C2	EP 0 986 282 A1	03/2000	EPO	—	
MM	C3	EP 0 975 190 A2	01/2000	EPO	—	

EXAMINER INITIAL	<b>OTHER ART - NON PATENT LITERATURE DOCUMENTS</b> <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	

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JAN 28 2004

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EXAMINER	DATE CONSIDERED
Molina Marcelo	09-30-2004

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